



10/01/2004 16:36 FAX 415 576 0300

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20350 7590 07/06/2004

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Stephanie J. Whitehurst

(Depositor's name)

(Signature)

October 1, 2004

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/766,396	01/18/2001	J. Gregor Sutcliffe	22908-0002D1	7291

TITLE OF INVENTION: ANTIBODIES TO CORTISTATIN: NEUROPEPTIDES, COMPOSITIONS AND METHODS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	10/06/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
HAYES, ROBERT CLINTON	1647	424-133100			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Scripps Research Institute

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

La Jolla, CA

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies **15**

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☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number **2011430** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Brigitte A. Hajos, Reg. No.: 50,971**October 1, 2004**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/05/2004 AMONDAF2 00000035 201430 09766396

PTOL-85 (Rev. 07/04) Approved for use through 04/30/2007.

TRANSMIT THIS FORM WITH FEE(S)

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

01 FC:1501 1370.00 DA
02 FC:1504 300.00 DA
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Atty Docket No. 14740A-000620US

PTO FAX NO.: 703-746-4000

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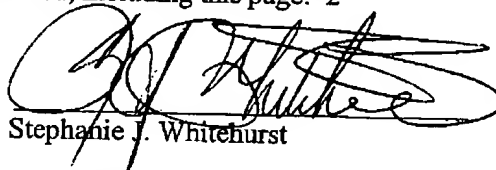
I hereby certify that the following documents in re Application of J. Gregor Sutcliffe et al., Application No. 09/766,396, filed January 18, 2001 for CORTISTATIN: NEUROPEPTIDES, COMPOSITIONS AND METHODS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Part B - Fees Transmittal - PTOL-85 (*charge Deposit Account 20-1430 \$1,670*)

Number of pages being transmitted, including this page: 2

Dated: October 1, 2004



Stephanie J. Whitehurst

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